1	BILL LOCKYER, Attorney General of the State of California TRINA L. SAUNDERS, State Bar No. Deputy Attorney General California Department of Justice 300 South Spring Street, Ste. 1702 Los Angeles, California 90013	
2		
3		
4		
5	Telephone: (213) 620-2193 Facsimile: (213) 897-9395	
6	Attorneys for Complainant	
7	Attorneys for Complaniant	
8	BEFORE THE PHYSICAL THERAPY BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA	
9		
10		
11	In the Matter of the Accusation Against:	Case No. 1D-2003-63690
12	MIMI SAADAT 20435 Via Don Juan	
13	Yorba Linda, California 92686	ACCUSATION
14	Physical Therapist No. PT 11203	
15	Respondent.	
16		
17		
18	Complainant alleges:	
19	<u>PARTIES</u>	
20	1. Steven K. Hartzell (Complainant) brings this Accusation solely in his	
21	official capacity as the Executive Officer of the Physical Therapy Board of California,	
22	Department of Consumer Affairs.(Board)	
23	2. On or about November 14, 1982, the Board issued Physical Therapist No.	
24	PT 11203 to Mimi Saadat (Respondent). Unless renewed, said license will expire on February	
25	29, 2008.	
26	<u>JURISDICTION</u>	
27	3. This Accusation is brought before the Board, under the authority of the	
28	following laws. All section references are to the Business and Professions Code unless otherwise	

indicated.

4. Section 2660 states:

"The board may, after the conduct of appropriate proceedings under the Administrative Procedure Act, suspend for not more than 12 months, or revoke, or impose probationary conditions upon any license, certificate, or approval issued under this chapter for unprofessional conduct that includes, but is not limited to, one or any combination of the following causes:

- "(a) Advertising in violation of Section 17500.
- "(b) Fraud in the procurement of any license under this chapter.
- "(c) Procuring or aiding or offering to procure or aid in criminal abortion.
- "(d) Conviction of a crime which substantially relates to the qualifications, functions, or duties of a physical therapist or physical therapy assistant. The record of conviction or a certified copy thereof shall be conclusive evidence of that conviction.
- "(e) Impersonating or acting as a proxy for an applicant in any examination given under this chapter.
 - "(f) Habitual intemperance.
 - "(g) Addiction to the excessive use of any habit-forming drug.
- "(h) Gross negligence in his or her practice as a physical therapist or physical therapy assistant.
- "(i) Conviction of a violation of any of the provisions of this chapter or of the State Medical Practice Act, or violating, or attempting to violate, directly or indirectly, or assisting in or abetting the violating of, or conspiring to violate any provision or term of this chapter or of the State Medical Practice Act.
- "(j) The aiding or abetting of any person to violate this chapter or any regulations duly adopted under this chapter.
- "(k) The aiding or abetting of any person to engage in the unlawful practice of physical therapy.

"(1) The commission of any fraudulent, dishonest, or corrupt act which is substantially related to the qualifications, functions, or duties of a physical therapist or physical therapy assistant.

"(m) Except for good cause, the knowing failure to protect patients by failing to follow infection control guidelines of the board, thereby risking transmission of blood-borne infectious diseases from licensee to patient, from patient to patient, and from patient to licensee. In administering this subdivision, the board shall consider referencing the standards, regulations, and guidelines of the State Department of Health Services developed pursuant to Section 1250.11 of the Health and Safety Code and the standards, regulations, and guidelines pursuant to the California Occupational Safety and Health Act of 1973 (Part 1 (commencing with Section 6300) of Division 5 of the Labor Code) for preventing the transmission of HIV, Hepatitis B, and other blood-borne pathogens in health care settings. As necessary, the board shall consult with the Medical Board of California, the California Board of Podiatric Medicine, the Board of Dental Examiners of California, the Board of Registered Nursing, and the Board of Vocational Nursing and Psychiatric Technicians, to encourage appropriate consistency in the implementation of this subdivision.

The board shall seek to ensure that licensees are informed of the responsibility of licensees and others to follow infection control guidelines, and of the most recent scientifically recognized safeguards for minimizing the risk of transmission of blood-borne infectious diseases.

- "(n) The commission of verbal abuse or sexual harassment."
- 5. Section 2661 states:

"A plea or verdict of guilty or a conviction following a plea of nolo contendere made to a charge of a felony or of any offense which substantially relates to the qualifications, functions, or duties of a physical therapist is deemed to be a conviction within the meaning of this article. The board may order the license suspended or revoked, or may decline to issue a

license, when the time for appeal has elapsed, or the judgement of conviction has been affirmed on appeal or when an order granting probation is made suspending the imposition of sentence, irrespective of a subsequent order under Section 1203.4 of the Penal Code allowing that person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, information, or indictment."

6. Section 2620.7 provides:

- "(a) A physical therapist shall document his or her evaluation, goals, treatment plan, and summary of treatment in the patient record.
- (b) A physical therapist shall document the care actually provided to a patient in the patient record.
 - (c) A physical therapist shall sign the patient record legibly.
- (d) Patient records shall be maintained for a period of no less than seven years following the discharge of the patient, except that the records of unemancipated minors shall be maintained at least one year after the minor has reached the age of 18 years, and not in any case less than seven years."

7. Section 2661.5 provides:

- "(a) In any order issued in resolution of a disciplinary proceeding before the board, the board may request the administrative law judge to direct any licensee found guilty of unprofessional conduct to pay to the board a sum not to exceed the actual and reasonable costs of the investigation and prosecution of the case.
- "(b) The costs to be assessed shall be fixed by the administrative law judge and shall not in any event be increased by the board. When the board does not adopt a proposed decision and remands the case to an administrative law judge, the administrative law judge shall not increase the amount of the assessed costs specified in the proposed decision.
- "(c) When the payment directed in an order for payment of costs is not made by the licensee, the board may enforce the order of payment by bringing an

action in any appropriate court. This right of enforcement shall be in addition to any other rights the board may have as to any licensee directed to pay costs.

- "(d) In any judicial action for the recovery of costs, proof of the board's decision shall be conclusive proof of the validity of the order of payment and the terms for payment.
- "(e) (1) Except as provided in paragraph (2), the board shall not renew or reinstate the license or approval of any person who has failed to pay all of the costs ordered under this section.
- "(2) Notwithstanding paragraph (1), the board may, in its discretion, conditionally renew or reinstate for a maximum of one year the license or approval of any person who demonstrates financial hardship and who enters into a formal agreement with the board to reimburse the board within that one year period for those unpaid costs.
- "(f) All costs recovered under this section shall be deposited in the Physical Therapy Fund as a reimbursement in either the fiscal year in which the costs are actually recovered or the previous fiscal year, as the board may direct."

FIRST CAUSE FOR DISCIPLINE

(Conviction of a Crime)

- 8. Respondent is subject to disciplinary action under section 2660, subdivision (b) and section 2661 in that she was convicted of a crime which substantially relates to the qualifications, functions or duties of a physical therapist. The circumstances are as follows:
- 9. On or about October 14, 2005, the District Attorney of Orange County charged Respondent with felonies as follows: two counts of violation of Penal Code section 550, subdivision (a)(5), (knowingly preparing a writing with the intent to present it in support of a false claim); two counts of violation of Penal Code section 550, subdivision (a)(6), (knowingly making a false or fraudulent claim for payment of a health care benefit); and two counts of violation of Penal Code section 550, subdivision (a)(7), (knowingly submitting a claim for a

health care benefit that was not used by, or on behalf of, the claimant.) Respondent pled not guilty.

10. On February 22, 2006, on the People's motion, both charges of violation of Penal Code section 550, subdivision (a)(5) were amended by interlineation to charges of violation of Penal Code section 550, subdivision (a)(7). The People also moved to reduce all charges to misdemeanors pursuant to Penal Code section 17, subdivision (b) and moved to dismiss two of the six counts against Respondent. Respondent pleaded no contest to all remaining charges (two counts each of violation of Penal Code section 550, subdivision (a)(6) and Penal Code section 550, subdivision (a)(7).) Imposition of sentence was suspended and Respondent was placed on informal probation for three years on various terms and conditions, including that she obey all terms and conditions imposed by the Board of Physical Therapy. Respondent was also ordered to and did pay restitution in the amount of \$31,245.86 to HealthNet.

SECOND CAUSE FOR DISCIPLINE

(Failure to Create and/or Maintain Patient Records)

- 11. Respondent is subject to disciplinary action under section 2620.7 in that she failed to document her evaluation, goals, treatment plan and summary of treatment in patient records. In addition, she failed to document the care actually provided to patients in patient records and/or failed to maintain patient records for the time required by law. The circumstances are as follows:
- 12. On or about October 22, 2004, the charts of patients M.N., C.F., R.R., M-A.A, I.E., M.A. and T.H. were reviewed. Respondent failed to document patient evaluation, treatment goals, treatment plan, and summary of treatment in these patient records. Respondent failed to make adequate documentation of the care actually provided to each patient in their patient records. Respondent failed to legibly sign the patient records. Respondent failed to maintain patient records for a period of no less than seven years following the discharge of the patients.

28 //

<u>PRAYER</u>				
WHEREFORE, Complainant requests that a hearing be held on the matters				
alleged in this Accusation, and that following the hearing, the Physical Therapy Board of				
California issue a decision:				
1. Revoking or suspending Physical Therapist No. PT 11203, issued to Mimi				
Saadat;				
2. Ordering Mimi Saadat to pay the Physical Therapy Board of California the				
reasonable costs of the investigation and enforcement of this case, pursuant to Business and				
Professions Code section 2661.3;				
3. Taking such other and further action as deemed necessary and proper.				
DATED: October 24, 2006				
Original Signed By: STEVEN K. HARTZELL Executive Officer Physical Therapy Board of California Department of Consumer Affairs State of California Complainant				
		LA2005600785 Saadat Accusation.wpd		